



NATIONAL POLAR-ORBITING OPERATIONAL ENVIRONMENTAL SATELLITE SYSTEM (NPOESS)

OPERATIONAL ALGORITHM DESCRIPTION DOCUMENT FOR VIIRS SEA ICE QUALITY (SIQ) INTERMEDIATE PRODUCT IP AND SURFACE TEMPERATURE (ST) IP (D42821 Rev B)

CDRL No. A032

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Table Of Contents

1.0	INTRODUCTION.....	1
1.1	Objective.....	1
1.2	Scope	1
1.3	References	1
1.3.1	Document References	1
1.3.2	Source Code References	3
2.0	ALGORITHM OVERVIEW	5
2.1	Sea Ice Characterization Description	5
2.1.1	Interfaces	5
2.1.1.1	Inputs	6
2.1.1.2	Outputs	9
2.1.1.2.1	Ice Weights IP	9
2.1.1.2.2	Ice Quality Flags IP	9
2.1.2	Algorithm Processing.....	11
2.1.2.1	Main Module - ProEdrViirsIceQual.cpp	11
2.1.2.2	setupDataItems (ProEdrViirsIceQual.cpp)	11
2.1.2.3	doProcessing (ProEdrViirsIceQual.cpp).....	11
2.1.2.4	determineIceRange (ProEdrViirsIceQual.cpp).....	12
2.1.2.5	calcIceStip (ProEdrViirsIceQual.cpp).....	12
2.1.2.6	calcBandWeights (ProEdrViirsIceQual.cpp).....	15
2.1.2.7	applyToWeights (ProEdrViirsIceQual.cpp).....	16
2.1.2.8	applyAotSunZen (ProEdrViirsIceQual.cpp).....	18
2.1.2.9	Current Issues.....	19
2.1.3	Graceful Degradation.....	20
2.1.3.1	Graceful Degradation Inputs	20
2.1.3.2	Graceful Degradation Processing	20
2.1.3.3	Graceful Degradation Outputs	20
2.1.4	Exception Handling.....	20
2.1.5	Data Quality Monitoring	20
2.1.6	Computational Precision Requirements	20
2.1.7	Algorithm Support Considerations	21
2.1.8	Assumptions and Limitations	22
2.1.8.1	Assumptions	22
2.1.8.2	Limitations.....	22
2.2	Surface Temperature Description.....	23

2.2.1	Interfaces	24
2.2.1.1	Inputs	24
2.2.1.2	Outputs	25
2.2.1.2.1	Surface Temperature (ST) IP Unit	25
2.2.2	Algorithm Processing	26
2.2.2.1	Main Module - calcSurfTemp() (ST IP)	26
2.2.2.2	ST IP Logic	27
2.2.2.3	ST IP QF Logic	27
2.2.2.4	ST IP LUT Coefficient Selection	27
2.2.3	Graceful Degradation	31
2.2.3.1	Graceful Degradation Inputs	31
2.2.3.2	Graceful Degradation Processing	31
2.2.3.3	Graceful Degradation Outputs	31
2.2.4	Exception Handling	31
2.2.5	Data Quality Monitoring	31
2.2.6	Computational Precision Requirements	31
2.2.7	Algorithm Support Considerations	32
2.2.8	Assumptions and Limitations	32
2.2.8.1	Assumptions	32
2.2.8.2	Limitations	32
3.0	GLOSSARY/ACRONYM LIST	33
3.1	Glossary	33
3.2	Acronyms	36
4.0	OPEN ISSUES	37

List of Figures

Figure 1. Ice Quality Unit IP Processing Chain	5
Figure 2. Logic Flow to Create the Ice Quality Flags	12
Figure 3. Detailed Logic Flow to Create the Ice Quality Flags	14
Figure 4. Logic Flow of calcBandWeights	15
Figure 5. Logic Flow of Using VCM Cloud Properties in applyToWeights	17
Figure 6. Logic Flow of Using COT Data in applyToWeights	18
Figure 7. Logic Flow of applyAotSunZen	19
Figure 8. Surface Temperature IP Processing Chain	23

List of Tables

Table 1. Document References	1
Table 2. Source Code References	3
Table 3. Ice Quality Global Attributes	6
Table 4. Ice Quality Inputs	6
Table 5. Ice Quality LUT Description	7
Table 6. Ice Weights IP Outputs	9
Table 7. Ice Quality Flags IP Outputs	9
Table 8. Ice Quality Flags IP: Description of Output Quality Flags	10
Table 9. Mapping of New VCM to Ice Quality Flags	13
Table 10. Factors Determining the Ice Weights IP	16
Table 11. Weighting Parameters Computed in applyToWeights for VCM Cloud Properties	16
Table 12. Weighting Parameters Computed in applyToWeights for COT	17
Table 13. Weighting Parameter Computation in applyAotSunZen	19
Table 14. SIQ Graceful Degradation	20
Table 15. List of Tunable Algorithm Parameters	21
Table 16. Surface Temperature Global Attributes	24
Table 17. Surface Temperature Inputs	24
Table 18. Surface Temperature LUT Description	25
Table 19. Surface Temperature IP Outputs	26
Table 20. Surface Temperature IP QF Output Bits and Descriptions	26
Table 21. Surface Temperature IP QF Logic	30
Table 22. Surface Temperature IP Core Equations	30
Table 24. Glossary	33
Table 25. Acronyms	36
Table 26. TBXs	37

1.0 INTRODUCTION

1.1 Objective

The purpose of the Operational Algorithm Description (OAD) document is to express, in computer-science terms, the remote sensing algorithms that produce the National Polar-Orbiting Operational Environmental Satellite System (NPOESS) end-user data products. These products are individually known as Raw Data Records (RDRs), Temperature Data Records (TDRs), Sensor Data Records (SDRs) and Environmental Data Records (EDRs). In addition, any Intermediate Products (IPs) produced in the process are also described in the OAD.

The science basis of an algorithm is described in a corresponding Algorithm Theoretical Basis Document (ATBD). The OAD provides a software description of that science as implemented in the operational ground system -- the Data Processing Element (DPE).

The purpose of an OAD is two-fold:

1. Provide initial implementation design guidance to the operational software developer.
2. Capture the "as-built" operational implementation of the algorithm reflecting any changes needed to meet operational performance/design requirements.

An individual OAD document describes one or more algorithms used in the production of one or more data products. There is a general, but not strict, one-to-one correspondence between OAD and ATBD documents.

1.2 Scope

The scope of this document is limited to the description of the core operational algorithms required to create the VIIRS Sea Ice Quality IP and VIIRS ST IP software. The theoretical basis for these algorithms is described in Section 3.3 of the VIIRS Sea Ice Characterization Algorithm Theoretical Basis Document (ATBD), D41063, and Section 3.3 of the Ice Surface Temperature: Visible/Infrared Imager/Radiometer Suite Algorithm Theoretical Basis Document (ATBD), D43761.

1.3 References

1.3.1 Document References

The science and system engineering documents relevant to the algorithms described in this OAD are listed in Table 1.

Table 1. Document References

Document Title	Document Number/Revision	Revision Date
VIIRS Ice Quality Unit Level Detailed Design Document	Y0011649 Ver. 5 Rev. 5	15 Mar 2005
VIIRS Surface Temperature Module-Level Interface Control Document	Y3281 Ver. 5 Rev. 4	Dec 2003
VIIRS Surface Temperature Module Level Software Architecture	Y2473 Ver. 5 Rev. 12	30 July 2004
VIIRS Surface Temperature Module Level Data Dictionary	Y0011652 Ver. 5 Rev. 3	Dec 2003
VIIRS Ice Surface Temperature Appendix A: Surface Temperature IP Unit Level Detailed Design	Y0010880 Ver. 5 Rev. 9	30 July 2004
VIIRS Radiometric Calibration Component Detailed Design	Y2490 Ver. 5 Rev. 4	30 Sep 2004

Document Title	Document Number/Revision	Revision Date
Document		
VIIRS Aerosol Optical Thickness Unit Detailed Design	Y2496 Ver. 5 Rev. 6	31 Aug 2004
VIIRS Sea Ice Characterization Algorithm Theoretical Basis Document	D41063 Rev. F	02 Dec 2009
Operational Algorithm Description Document for the VIIRS Ice Surface Temperature (IST) EDR	D39141, Rev B1	01 Dec 2009
VIIRS Science Algorithms 4.17 Delivery to IDPS Package Version Description	D53435 Rev. ---	02 Dec 2009
NPP EDR Production Report	D37005 Rev. D	11 Feb 2009
EDR Interdependency Report	D36385 Rev. E	28 Jan 2009
NPP Mission Data Format Control Book (MDFCB)	D48190-01 Rev A	05 May 2009
CDFCB-X Volume I - Overview	D34862-01 Rev. E	20 May 2009
CDFCB-X Volume II – RDR Formats	D34862-02 Rev. D	03 Jun 2009
CDFCB-X Volume III – SDR/TDR Formats	D34862-03 Rev. D	27 May 2009
CDFCB-X Volume IV Part 1 – IP/ARP/GEO Formats	D34862-04-01 Rev. D	04 Jun 2009
CDFCB-X Volume IV Part 2 – Atmospheric, Clouds, and Imagery EDRs	D34862-04-02 Rev. D	04 Jun 2009
CDFCB-X Volume IV Part 3 – Land and Ocean/Water EDRs	D34862-04-03 Rev. D	04 Jun 2009
CDFCB-X Volume IV Part 4 – Earth Radiation Budget EDRs	D34862-04-04 Rev. D	04 Jun 2009
CDFCB-X Volume V - Metadata	D34862-05 Rev. E	14 May 2009
CDFCB-X Volume VI – Ancillary Data, Auxiliary Data, Reports, and Messages	D34862-06 Rev. G	21 May 2009
CDFCB-X Volume VII – NPOESS Downlink Formats	D34862-07-01 Rev. B	27 May 2009
CDFCB-X Volume VIII – Look Up Table Formats	D34862-08 Rev. B	05 Jun 2009
NPP Command and Telemetry (C&T) Handbook	D568423 Rev. C	30 Sep 2008
Data Processor Inter-Subsystem Interface Control Document (DPIS ICD)	D35850 Rev. W.1	19 Aug 2009
IDFCB, D36953, Vol. III	D36953-03_E_IDFCB Volume III	09 Dec 2009
Ice Surface Temperature: Visible/Infrared Imager/Radiometer Suite Algorithm Theoretical Basis Document	D43761 Rev. A	31 July 2008
Processing SI Common IO Design	DD60822-IDP-011 Rev. A	21 June 2007
D35836_G_NPOESS_Glossary	D35836 Rev. G	10 Sep 2008
D35838_G_NPOESS_Acronyms	D35838 Rev. G	10 Sep 2008
NGST/SE technical memo – MS_Engineering_Memo_IceAge_OAD_Update	NP-EMD.2005.510.0115	14 Nov 2005
NGST/SE technical memo – MS_Engineering_Memo_Sealce_OAD_QualityFlag_Update	NP-EMD.2005.510.0137	14 Nov 2005
NGST/SE technical memo – NPP_VIIRS_STIP_CodeQFUpdate_SPCR_ALG987	NP-EMD.2006.510.0059	27 Feb 2006
NGST/SE technical memo –NPP_VIIRS_IceAge_Ancillary Data EDRIR Compliance	NP-EMD.2006.510.0028	22 May 2006
NGST/SE technical memo – MS_EngMemo_STIP_codefix_SPCR982_971	NP-EMD.2006.510.0004	3 Mar 2006
NGST/SE technical memo – NPP_VIIRS_STIP_QFUpdate_SCPR_ALG1010	NP-EMD.2006.510.0018	17 Mar 2006
NGST/SE technical memo –	NP-EMD.2006.510.0047	3 Jul 2006

Document Title	Document Number/Revision	Revision Date
NPP_VIIRS_Sealce_AOT_field_corrections		
NGST/SE technical memo – NPP_VIIRS_IceAge_AMI_IP_removal	NP-EMD.2006.510.0062	16 Aug 2006
NGST/SE technical memo – NPP_VIIRS_IceAge_terminator_continuity_fix_RevA	NP-EMD.2006.510.0063	7 Sep 2006
NGST/SE technical memo – NPP_VIIRS_IceAge_missing_openwater_fill_fix_0065	NP-EMD.2006.510.0065	13 Sep 2006
NGST/SE technical memo – NPP_VIIRS_IceAge_logical_expression_fixes_066	NP-EMD.2006.510.0066	14 Sep 2006
NGST/SE technical memo – NPP_VIIRS_IceAge_550nmAOT_OAD_update	NP-EMD.2006.510.0074	15 Oct 2006
NGST/SE technical memo – NPP_VIIRS_IceQual_VCM_ThinCirrus_Flag	NP-EMD.2006.510.0080	14 Nov 2006
NGST/SE technical memo – NPP_VIIRS_IST_LST_STIP_BugsFix_20061031	NP-EMD.2006.510.0081	1 Nov 2006
NGST/SE technical memo – NPP_VIIRS_IceAge_VCM_ThinCirrus_Flag	NP-EMD.2006.510.0083	7 Nov 2006
NGST/SE technical memo – NPP_VIIRS_Sealce_3.4.4_delta_delivery_OAD_updates	NP-EMD.2007.510.0046	08 Aug 2007
NGST/SE technical memo – NPP_VIIRS_Sealce_v3.4.5_delta_delivery_OAD_updates	NP-EMD.2008.510.0018	15 Apr 2008
NGAS/SE technical memo – NPP_VIIRS_SealceConc_v4.17_OAD_updates	NP-EMD.2009.510.0049	20 Nov 2009
NGAS/SE technical memo – NPP_VIIRS_SealceQualSTIP_v4.17_OAD_updates	NP-EMD.2009.510.0068	20 Nov 2009

1.3.2 Source Code References

The science and operational code and associated documentation relevant to the algorithms described in this OAD are listed in Table 2.

Table 2. Source Code References

Reference Title	Reference Tag/Revision	Revision Date
VIIRS SealceCharacterization science-grade software (original reference source) (ECR-A049)	ISTN_VIIRS_NGST_3.4 (OAD Rev. ---)	05 May 2005
VIIRS SealceCharacterization (SIQ-STIP) operational software	B1.4 (OAD Rev. A1)	07 Feb 2006
VIIRS SealceCharacterization science-grade software (ECR-A066)(Sea Ice Age) Includes Tech Memo: NP-EMD.2005.510.0115	ISTN_VIIRS_NGST_3.4.1	29 Sep 2005
VIIRS SealceCharacterization science-grade software (ECR-A073)(Sea Ice Quality) Includes OAD update Tech Memo: NP-EMD.2005.510.0137	ISTN_VIIRS_NGST_3.4.2	14 Nov 2005
VIIRS SealceCharacterization (SIQ-STIP) operational software	B1.5 (OAD Rev. A2)	18 Oct 2006
VIIRS SealceCharacterization science-grade software (ECR-A073 & A108)(Sea Ice Age)	ISTN_VIIRS_NGST_3.4.3 Data	18 Dec 2006
VIIRS SealceCharacterization science-grade software (ECR-A127A)(Sea Ice Age) Includes Tech Memo: NP-EMD.2007.510.0046	ISTN_VIIRS_NGST_3.4.4 Data	11 Sep 2007
VIIRS SealceCharacterization science-grade software (Sea Ice Characterization) Includes OAD update Tech Memo: NP-EMD.2008.510.0018	ISTN_VIIRS_NGST_3.4.5 (OAD updated by TM)	14 May 2008
VIIRS SealceCharacterization (SIQ-STIP) operational software	B1.5x1 (OAD Rev. A11)	20 Oct 2008
ACCB (no code changes)	OAD Rev A	17 Dec 2008
VIIRS SealceCharacterization science-grade software (Sea Ice	ISTN_VIIRS_NGST_4.17	16 Dec 2009

Reference Title	Reference Tag/Revision	Revision Date
Characterization) Includes OAD update Tech Memos: NP-EMD.20098.510.0049 & NP-EMD.20098.510.0068	(OAD updated by TM)	
VIIRS SeaWiFS Characterization (SIQ-STIP) operational software (PCRs 21984-SIQ & 21983-SIC)	Sensor Characterization (Build SC-6) (OAD Rev B2)	19 Jan 2010
ACCB	OAD Rev B	31 Mar 2010

2.1 Sea Ice Characterization Description

The Sea Ice Characterization Ice Quality algorithm is the first executable in the Sea Ice Characterization chain. The Ice Quality algorithm produces the Ice Quality Flags IP and the Ice Weights IP. They utilize the VIIRS 375m Sensor Data Record (SDR), IP files from other VIIRS algorithms, and Look-Up-Tables (LUTs). Figure 1 shows the input and output data flow associated with the Ice Quality algorithm. The flowchart box for Ice Quality IP shown in orange is highlighted to indicate that the IP, formerly known as the Ice Mask IP, is now named the Ice Quality Flags IP. The flow chart box for Ice Location IP is shown in red to indicate that this IP is not produced by the operational code.



Table 3 shows the global constant attributes of the ice quality IP unit.

Table 3. Ice Quality Global Attributes

Input	Type	Description/Source	Units/Valid Range
VIIRS_RDR_SCANS	Int32	Number of RDR scans	Unitless/ VIIRS_RDR_SCANS > 0 (Currently set to 48)
M_DETECTORS	Int32	Number of Moderate detectors	Unitless/ M_DETECTORS > 0 (Currently set to 16)
I_DETECTORS	Int32	Number of Image detectors	Unitless/ I_DETECTORS > 0 (Currently set to 32)
M_VIIRS_SDR_ROWS	Int32	Number of moderate Viirs rows	Unitless/ VIIRS_RDR_SCANS * M_DETECTORS
M_VIIRS_SDR_COLS	Int32	Number of moderate Viirs columns	Unitless/ M_VIIRS_SDR_COLS > 0 (Currently set to 3200)
I_VIIRS_SDR_ROWS	Int32	Number of image Viirs rows	Unitless/ VIIRS_RDR_SCANS * I_DETECTORS
I_VIIRS_SDR_COLS	Int32	Number of image Viirs columns	Unitless/ I_VIIRS_SDR_COLS > 0 (Currently set to 6400)
VIIRS_MODERATE_PIXEL_COUNT	Int32	Number of moderate columns X rows	Unitless/ M_VIIRS_SDR_ROWS * M_VIIRS_SDR_COLS
IQ_N_BANDS	Int32	Number of bands	Unitless/ IQ_N_BANDS > 0 (Currently set to 3 => I1,I2, I5)
IQ_N_CLD_TYPES	Int32	Number of Cloud Types	Unitless/ IQ_N_CLD_TYPES > 0 (Currently set to 7)
IQ_N_THRESH	int32	Cloud Optical Thickness Quality Threshold Values; Boundary values correspond to RED (1) or YELLOW (2) quality thresholds	Unitless/ IQ_N_THRESH > 0 (Currently set to 2)
IQ_N_AOT_BINS	Int32	Number of AOT bins, which correspond to the number of AOT values used for thresholding (aotBin, see Table 5)	Unitless/ IQ_N_AOT_BINS > 0 (Currently set to 4)
IQ_N_WGTS	Int32	Number of ice quality band weights	Unitless/ IQ_N_WGTS > 0 (Currently set to 3)

2.1.1.1 Inputs

The Ice Quality inputs are shown in Table 4. Refer to the CDFCB-X, D34862, for a detailed description of the inputs.

Table 4. Ice Quality Inputs

Input	Data Type/Size	Description/Source	Units/Valid Range
Latitude	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Latitude @ Imagery Resolution, from IMG Geolocation SDR	Radians/ -PI/2 ≤ Latitude ≤ PI/2 FILL_VALUE = -999.9
Longitude	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Longitude @ Imagery Resolution, from IMG Geolocation SDR	Radians/ -PI ≤ Longitude ≤ PI FILL_VALUE = -999.9
sunzen	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Solar Zenith Angle @ Imagery Resolution, from IMG Geolocation SDR	Radians/ -PI/2 ≤ sunzen ≤ PI/2 FILL_VALUE = -999.9

Input	Data Type/Size	Description/Source	Units/Valid Range
sunazi	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Solar Azimuth Angle @ Imagery Resolution, from IMG Geolocation SDR	Radians/ -PI ≤ sunazi ≤ PI FILL_VALUE = -999.9
Reflectance_Img	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	I1 and I2 Reflectances / VIIRS 375 m resolution SDR	Unitless/ ≥ 0 Fill_VALUE= 65535 (Integer Scaled)
BT_I5	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Brightness Temperature of Band I5 / VIIRS 375 m resolution SDR	Kelvin / 190 K < BT _{I5} < 340 K Fill_VALUE= 65535 (Integer Scaled)
COT	float*32 x M_VIIRS_SDR_ROWS x M_VIIRS_SDR_COLS	Cloud Optical Thickness @ 750m resolution	Unitless/ COT ≥ 0.0 FILL_VALUE = -999.9
AOT	float*32 x M_VIIRS_SDR_ROWS x M_VIIRS_SDR_COLS	Aerosol Optical Thickness (550nm) @ 750m	Unitless/ AOT ≥ 0.0 FILL_VALUE = -999.9
VCM	VIIRS_MODERATE_PIXEL_COUNT	Cloud Mask Flags	VCMLANDWATER, VCMQUAL, VCMCONF, VCMADJCONF, VCMCIRSOL, VCMCIRIR, VCMTHINCIR, VCMshadow, VCMOBSTRUCT, VCMPHASE, VCMFIRE, VCMGLINT
Ice Quality LUT	See Table 5	See Table 5	See Table 5

Table 5 contains the Ice Quality algorithm LUT description. The cot_switch entry has been removed from this table since the value was moved to the algorithms configuration guide during Sci2Ops. This switch controls whether the Sea Ice Quality algorithm runs in either of two modes for determining cloud confidence and de-weighting retrieval quality for cloud contaminated pixels such that for VCM IP cloud confidence mode, then cot_switch = 0 and COP IP cloud confidence mode, then cot_switch = 1. This switch is unitless, with values of either 1 or 0. The default value for cot_switch is 0. Depending on the cot_switch selection the appropriate set of Ice Quality LUT table values for the respective mode are read from the table. The table definitions for the cot_switch modes at prelaunch are identical but are allowed to change as determined during cal/val.

Table 5. Ice Quality LUT Description

Input	Data Type/Size	Description/Source	Units/Valid Range
bandWgts	float*32 x IQ_N_BANDS	Initial Ice Quality Band Weights	Unitless/ bandWgts ≥ 0.0 bandWgts = [0.3, 0.6, 0.1]

Input	Data Type/Size	Description/Source	Units/Valid Range
cloudWgts	float*32 x IQ_N_CLD_TYPES x IQ_N_BANDS	Cloud weights corresponding to the three imagery bands (I1, I2, I5) and the seven cloud properties - four phases = Default (1), Water (2), Ice (3), Mixed (4), and 3 types = cirrus (5), shadow (6), adjacency (7); the parenthetical values correspond to the rows of the matrix shown in the "Units/Range" cell, the column represent the bands I1, I2, and I5	Unitless/ cloudWgts ≥ 0.0 cloudWgts = (I1) (I2) (I5) [0.5, 0.5, 0.5 ; (1) 0.5, 0.5, 0.5 ; (2) 0.5, 0.5, 0.5 ; (3) 0.5, 0.5, 0.5 ; (4) 0.6, 0.6, 0.6 ; (5) 0.3, 0.3, 1.0 ; (6) 0.8, 0.8, 0.8] (7)
cotThresh	float*32 x 4 x IQ_N_THRESH x IQ_N_BANDS	Cloud Optical Thickness Thresholds used when <i>cotThresh</i> == 1 (used COT to determine cloud confidence in the Ice Quality Flags IP output. The "4" in the "Data Type/Size" cell corresponds to the four phases (Default(1), Water(2), Ice(3), Mixed(4)). "IQ_N_THRESH" corresponds to the rows of each set of matrices which are a function of cloud phase; the "(1)'s" represent the YELLOW/RED cot thresholds and the "(2)'s" represent the GREEN/YELLOW COT thresholds.	Unitless/ cotThresh ≥ 0.0 cotThresh = (Default,Water,Ice,Mixed) (I1) (I2) (I5) [0.5, 0.5, 0.5 ; (1) 0.2, 0.2, 0.2] (2) (Phases follow the order shown above)
fwRange	float*32 x IQ_N_FW	Fresh Water Ice Latitude/Longitude Range Lat/Lon Boundaries)	radians/ -PI \leq fwRange(1,2) \leq PI (Currently set to -1.308997 (75°), -1.65806 (-95°)) -PI/2 \leq fwRange(3,4) \leq PI/2 (Currently set to 0.872664(50°), 0.69813 (40°))
minNLat	float*32	Sea Ice Latitude Range – Minimum Northern Latitude	radians/ -PI/2 \leq minNLat \leq PI/2 (Currently set to 0.62831 (36°))
maxSLat	float*32	Sea Ice Latitude Range – Maximum Southern Latitude	radians/ -PI/2 \leq maxSLat \leq PI/2 (Currently set to -0.87266 (-50°))
aotBin	float*32 x IQ_N_AOT_BINS	AOT bin boundary values	Unitless/ aotBin ≥ 0.0 aotBin = [0.0, 0.15, 0.5, 1.0]
qAotSunZen	float*32 x IQ_N_BANDS (I1,I2) x IQ_N_AOT_BINS x 2	Solar Zenith Angle values that correspond to the Solar Zenith Angle quality regimes (G/Y = "Green/Yellow", Y/R = "Yellow/Red", this corresponds to the "2" in the "Data Types/Size" column) and to the "aotBin" values ((1) -> 0.0, (2) -> 0.15, (3) -> 0.5, (4) -> 1.0)	Radians/ -PI/2 \leq qAotSunZen \leq PI (I1, I2) (G/Y) (Y/R) [1.308997(75°), 1.48353(85°) (1) 1.22173(70°), 1.48353(85°) (2) 1.13446(65°), 1.39626(80°) ; (3) 1.04719(60°), 1.308997(75°)] (4) (Bands follow the order shown above)
qualWgts	float*32 x IQ_N_WGTS x IQ_N_BANDS	Overall Ice Quality Band Weights for each band (I1, I2, I5) and for each set of weights for each band; the (1)="RED", (2)="YELLOW" and (3)="GREEN" quality regions. Used in IQ_write_ice_mask()	Unitless/ qualWgts ≥ 0.0 qualWgts = (I1) (I2) (I5) [0.060, 0.12, 0.195 ; (1) 0.12, 0.24, 0.39 ; (2) 0.02, 0.04, 0.065] (3)

2.1.1.2 Outputs

The Sea Ice Quality algorithm produces two output IP products: Ice Weights and Ice Quality Flags IP.

2.1.1.2.1 Ice Weights IP

The Ice Weight IP contains a single field (see Table 6) for ice weights of each imagery band.

Table 6. Ice Weights IP Outputs

Output	Data Type/Size	Description	Units/Valid Range
Ice Weights	float*32 x IQ_N_BANDS x I_VIIRS_SDR_ROWS x I_VIIRS_SDR_COLS	Output Ice Weights for each Imagery Band (I1, I2, I5) for the <i>Ice Weights IP</i>	Unitless/ $0.0 \leq \text{Ice Weights} \leq 1.0$

2.1.1.2.2 Ice Quality Flags IP

The Ice Quality Flags IP contains three fields (see Table 7): an ice quality flags array and two granule level attributes: granuleNotInSeaIceRange and granuleNotInFWIceRange.

Table 7. Ice Quality Flags IP Outputs

Output	Data Type/Size	Description	Units/Valid Range
Ice Quality Flags	int8 x IQ_N_BANDS x I_VIIRS_SDR_ROWS x I_VIIRS_SDR_COLS	Output Ice Quality Flags for the <i>Ice Quality Flags IP</i> (See Table 8 for description)	See Table 8
granuleNotInSeaIceRange	int	Flags whether the granule is entirely outside the sea ice range	Units: none (1=YES, 0 = NO)
granuleNotInFWIceRange	int	Flags whether the granule is entirely outside the fresh water ice range	Units: none (1=YES, 0 = NO)

Table 8. Ice Quality Flags IP: Description of Output Quality Flags

Byte	Bit	Flag Description Key	Result		
0	0	Sea Ice Range	0 = Green (Within Range, Default) 1 = Red (Out of Range) Range as determined by min_nlat and max_slat as defined in Table 3		
	1	Fresh Water Ice Range	0 = Green (Within Range, Default) 1 = Red (Out of Range)		
	2	Coastline	0 = Green (Default) 1 = Yellow		
	3-4	Cloud Confidence Indicator	Bit 4	Bit 3	
			0	0	Green (Confident Clear, Default)
			0	1	Green/Yellow (Probably Clear)
			1	0	Yellow/Red (Probably Cloudy, Adjacency)
			1	1	Red (Confident Cloudy)
	5-6	Cirrus Confidence Indicator	Bit 6	Bit 5	
			0	0	Green (Confident Clear, Default)
			0	1	Green/Yellow (Solar)
			1	0	Yellow/Red (IR)
			1	1	Red (Solar & IR)
	7	Cloud Shadow	0 = No (Default) 1 = Yes		
1	0-1	Cloud Phase	Bit 1	Bit 0	
			0	0	Spare
			0	1	Water
			1	0	Ice
			1	1	Mixed
	2	Fire Detected	0 = No (Default) 1 = Yes		
	3	Sun Glint	0 = No (Default) (Test not implemented) 1 = Yes		
	4-6	Band Quality	Bit 6 (I5)	Bit 5 (I2)	Bit 4 (I1)
			0	0	0
			0	0	1
			0	1	0
			1	0	0
			0	1	1
			1	1	0
			1	1	1
	7	Ocean/No Ocean	0 = Ocean 1 = No Ocean		

Byte	Bit	Flag Description Key	Result	
2	0-1	Overall Quality (Reflectance Band I1)	Bit 1	Bit 0
			0	0
			0	1
			1	0
			1	1
	2-3	Overall Quality (Reflectance Band I2)	Bit 1	Bit 0
			0	0
			0	1
			1	0
			1	1
	4-5	Overall Quality (Brightness Temperature Band I5)	Bit 1	Bit 0
			0	0
			0	1
			1	0
			1	1
	6	Non Cloud Obstruction/Heavy Aerosol	0 = No 1 = Yes	
	7	Thin Cirrus	0 = No 1 = Yes	

2.1.2 Algorithm Processing

2.1.2.1 Main Module - ProEdrViirsIceQual.cpp

The ProEdrViirsIceQual.cpp code produces outputs listed in Table 7. The output IPs are determined using a series of tests, which determine the quality of each pixel. Each pixel's "quality" depends upon the quality of the VIIRS Cloud Mask (VCM), Cloud Optical Thickness (COT), and Aerosol Optical Thickness (AOT) IPs. Based on these inputs, weighting factors from the Ice Quality LUT are applied to each pixel. The use of these input parameters for testing pixel quality takes place in the following functions: calcIqStip() (Section 2.1.2.5), and calcBandWeights() (Section 2.1.2.6). The I1 and I2 Reflectance qualities and the I5 Brightness Temperature qualities are used in calcIqStip() to compute the quality of each band.

2.1.2.2 setupDataItems (ProEdrViirsIceQual.cpp)

The setupDataItems method identifies the class objects (DMS data structures) that are required by the algorithm for processing. This method is the starting point of the algorithm. This method sets up the data items for both Ice Quality IP and Surface the Temperature IP (see Section 2.2).

2.1.2.3 doProcessing (ProEdrViirsIceQual.cpp)

The doProcessing method assigns pointers to data buffers retrieved from DMS. These pointers are then used by the algorithm to read and update the DMS data buffers. This method sets up the data pointers for both the Ice Quality IP and the Surface Temperature IP (see Section 2.2).

2.1.2.4 determinelceRange (ProEdrViirsIceQual.cpp)

The determinelceRange method is used to determine if the granule is “out of range” for sea ice or fresh water ice.

2.1.2.5 calclqStip (ProEdrViirsIceQual.cpp)

The calclqStip method is the main method of ProEdrViirsIceQuality algorithm. This method calculates the ice quality flags, ice weights, and calls calcSurfTemp (see Section 2.2.2.1) for each pixel. It uses the VCM IP to produce Ice Quality Flags, which contains the quality flags (bits) for each pixel. The Ice Quality Flags bit structure is detailed in Table 8. See Figure 2 for the logic flow in the creating of the Ice Quality Flags.

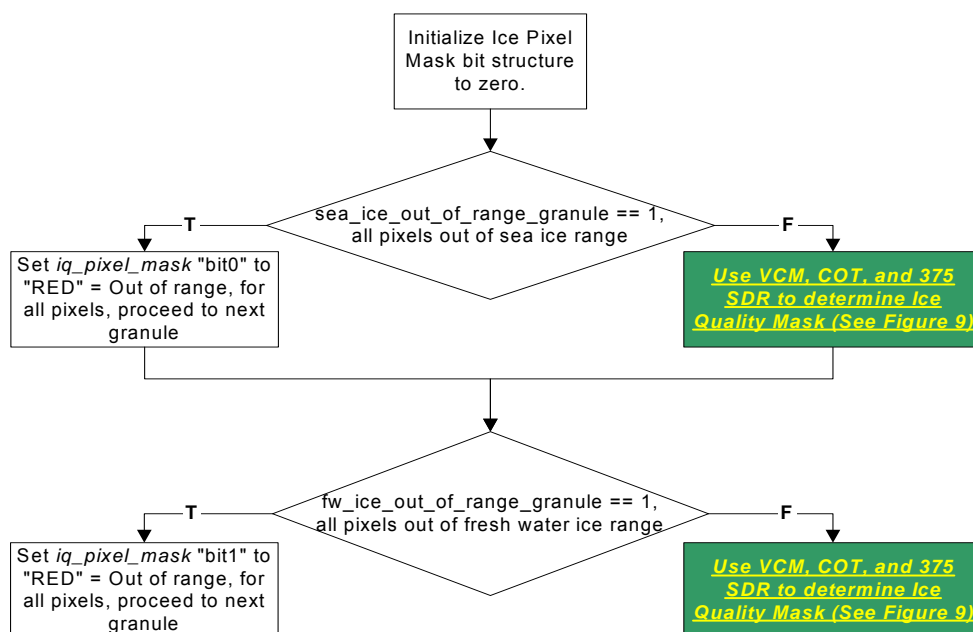


Figure 2. Logic Flow to Create the Ice Quality Flags

In Figure 2 all tests done using COT or the VCM are done at the moderate resolution pixel level. Table 6 details the results of these tests. A test with the LUT field “qualWgts” against the weighting factors computed in calcBandWeights() is performed in order to determine the overall qualities for bands I1, I2, and I5; these are described in Table 8.

The VCM has recently been updated to handle more cloud phases. However, because the number of phases has increased, the number of bits necessarily must increase. In order to minimize the number of changes to the VCM output bit structure, the cloud phase has moved from byte 4 (on a 0-5 scale) to byte 5. Table 9 details the mapping of the new VCM to the current cloud phases handled by the Sea Ice algorithm.

Note that the new VCM no longer produces a cloud phase for “PROBABLY CLEAR” pixels and instead uses a “PARTLY CLOUDY” label. Ice Quality Unit, using the new VCM, classifies formerly “PROBABLY CLEAR” pixels as “CONFIDENTLY CLEAR.”

Table 9. Mapping of New VCM to Ice Quality Flags

VCM Cloud Phase	Mapped Cloud Phase in Ice Quality Flags	2-Bit Assignment
Not Executed	Not Executed	00
Clear	Not Executed	00
Partly Cloudy	Not Executed	00
Water	Water	01
Mixed	Mixed	11
Opaque Ice	Ice	10
Cirrus	Ice	10
Overlap	Mixed	11

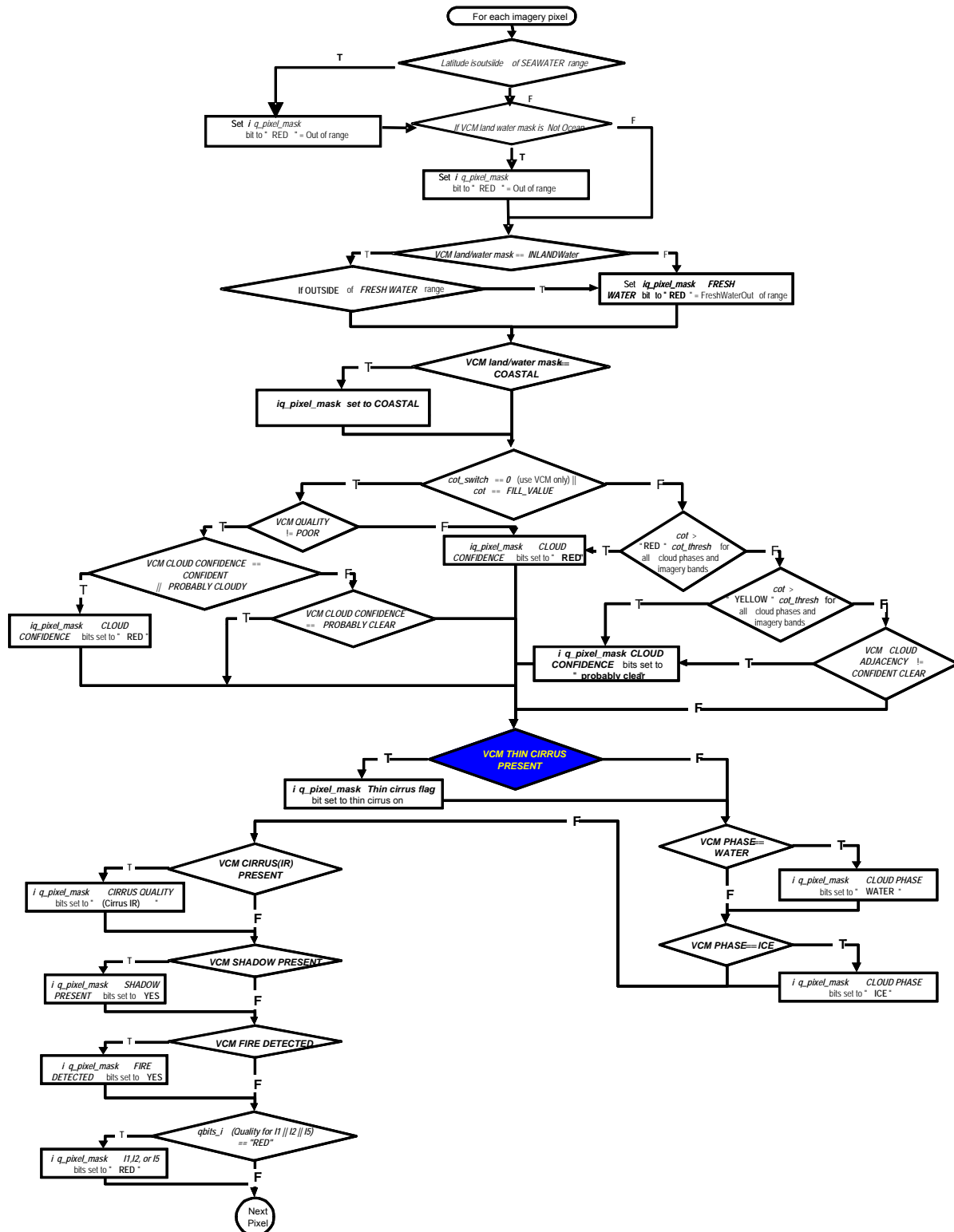


Figure 3. Detailed Logic Flow to Create the Ice Quality Flags

2.1.2.6 calcBandWeights (ProEdrViirsIceQual.cpp)

Band weighting factors, which are a function of the “quality” of the IPs, are determined using threshold and weight values in the Ice Quality LUT. The algorithm determines weights in applyToWeights() due to cloud effects or cloud optical thickness values if COT is available. If the COT IP is not available the algorithm uses the VCM cloud properties to define the weighting factors for each of the ice pixels.

This function does, however, perform an initial weighting on the reflectance and brightness temperature bands based on various VCM tests results determined earlier in the calcIqStip() function. Figure 4 details the logic flow of calcBandWeights().

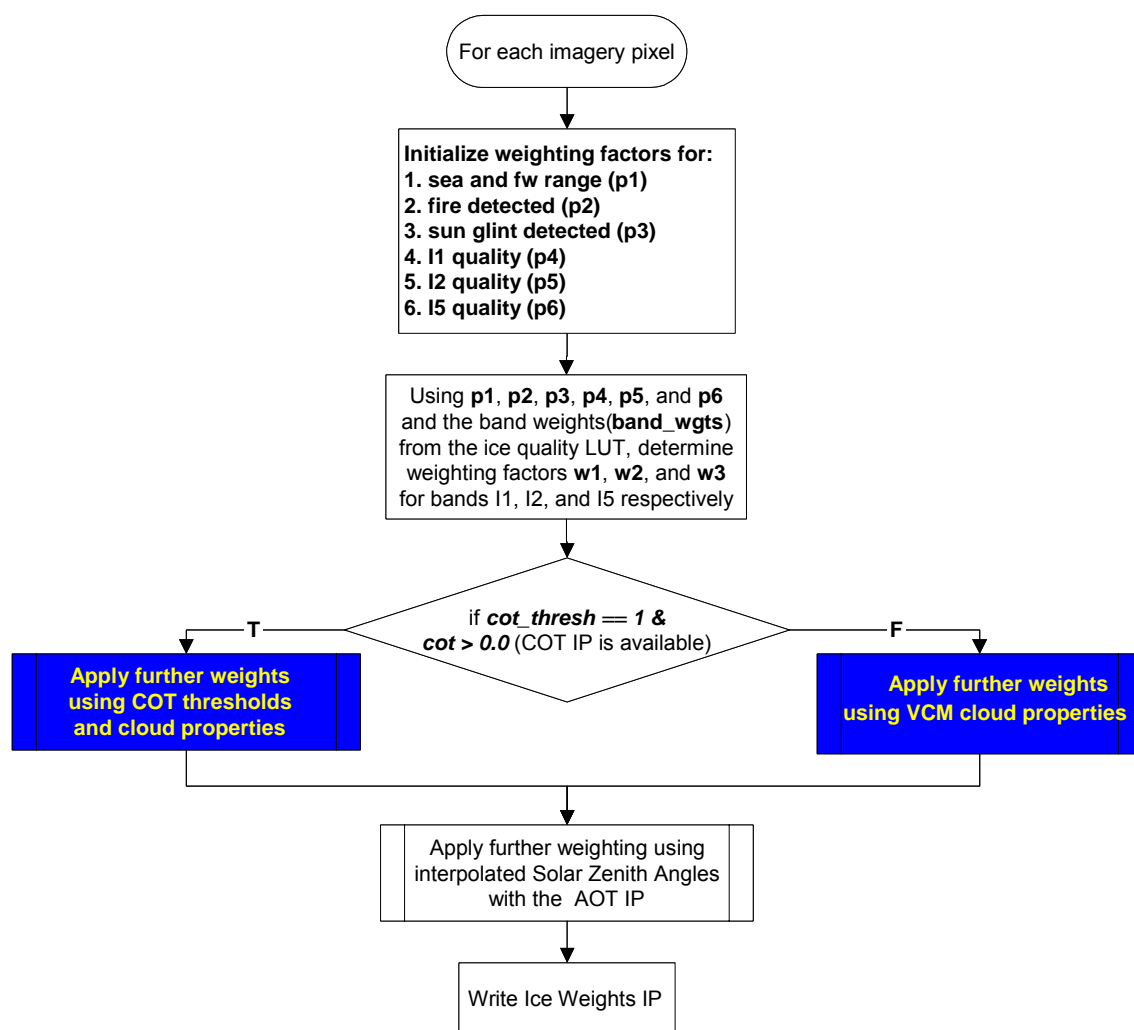


Figure 4. Logic Flow of calcBandWeights

The computation of all weighting factors (due to clouds and AOT) are detailed in Table 6. These band weights include weights computed by calcBand_weights(), applyToWeights(), and applyAotSunZen(). Note that parameters in red (Table 10) denote individual weighting factors that differ depending on availability of the COT IP and/or VCM IP.

Table 10. Factors Determining the Ice Weights IP

Band Weight Parameters	Final Band Weight Computation
Parameters for I1 (s = along-scan, t = along-track): p1(t,s) = sea or fresh water present (0 = No, 1 = yes) p2(t,s) = fire detected (1 = Yes, 1 = No) p3(t,s) = sun glint detected (1 = Yes, 1 = No) p4(t,s) = I1 quality (0 = Poor, 1 = Good) w₀(I1) = I1 weighting factor from the Ice Quality LUT = bandWgts(1) in Table 18 Note: For other parameter definitions see Table 11, Table 12 and Table 13.	IF COT is not available IF Cloud Confidence == Probably Clear w(I1,t,s) = w₀(I1) × p1 × p2 × p3 × p4 × cw1 × cw3 × a_sza × s0 (if shadow detected) ELSE IF Cloud Confidence == Probably Cloudy w(I1,t,s) = w₀(I1) × p1 × p2 × p3 × p4 × cw2 × cw3 × a_sza × s0 (if shadow detected) END IF ELSE w(I1,t,s) = w₀(I1) × p1 × p2 × p3 × p4 × w_x × a_sza × s0 (if shadow detected) END
Parameters for I2: p1(t,s) = sea or fresh water present (0 = No, 1 = yes) p2(t,s) = fire detected (1 = Yes, 1 = No) p3(t,s) = sun glint detected (1 = Yes, 1 = No) p5(t,s) = I2 quality (0 = Poor, 1 = Good) w₀(I2) = I2 weighting factor from the Ice Quality LUT= bandWgts(2) in Table 18 Note: For other parameter definitions see Table 11, Table 12 and Table 13.	IF COT is not available IF Cloud Confidence == Probably Clear w(I2,t,s) = w₀(I2) × p1 × p2 × p3 × p5 × cw1 × cw3 × a_sza × s0 (if shadow detected) ELSE IF Cloud Confidence == Probably Cloudy w(I2,t,s) = w₀(I2) × p1 × p2 × p3 × p5 × cw2 × cw3 × a_sza × s0 (if shadow detected) END IF ELSE w(I2,t,s) = w₀(I2) × p1 × p2 × p3 × p5 × w_x × a_sza × s0 (if shadow detected) END
Parameters for I5: p1(t,s) = sea or fresh water present (0 = No, 1 = yes) p2(t,s) = fire detected (1 = Yes, 1 = No) p6(t,s) = I5 quality (0 = Poor, 1 = Good) w₀(I5) = I5 weighting factor from the Ice Quality LUT= bandWgts(3) in Table 18 Note: For other parameter definitions see Table 11, Table 12 and Table 13.	IF COT is not available IF Cloud Confidence == Probably Clear w(I5,t,s) = w₀(I5) × p1 × p2 × p6 × cw1 × cw3 ELSE IF Cloud Confidence == Probably Cloudy w(I5,t,s) = w₀(I5) × p1 × p2 × p6 × cw2 × cw3 END IF ELSE w(I5,t,s) = w₀(I5) × p1 × p2 × p6 × w_x END

Note that weighting of band I5 does not depend on the presence of sun glint. This is because I5 is a LWIR band, which is not affected by sun glint.

2.1.2.7 applyToWeights (ProEdrViirsIceQual.cpp)

This function uses either COT data or VCM cloud properties to do thresholding and weighting on each of the ice pixels. The logic for using VCM cloud properties is detailed in Figure 5. Cloud weight LUT values corresponding to shadows only affect bands I1 and I2. This again is due to I5's bandwidth. All the other weight factors, calculated in this function, are computed for all three imagery bands. The cloud weighting parameters are listed in Table 6.

Figure 6 details the logic to compute additional weighting factors due to clouds. The weighting parameters are listed in Table 11. Since the COT IP is reported at 750-meters resolution and the Ice Quality is computed at the 375-meters, the COT values at each moderate resolution pixel must be replicated over the four imagery pixels.

Table 11. Weighting Parameters Computed in applyToWeights for VCM Cloud Properties
(See Table 5 for a description of "cloudWgts")

Band Weight Parameter	Definition/Computation
cw1(c,b) where c(t,s) = 1-4 (cloud phase) b = band (I1, I2, I5)	This is the cloud-weighting factor, from "cloud_wgts", which corresponds to a particular cloud phase and for each imagery band.

Band Weight Parameter	Definition/Computation
$t = \text{along-track,}$ $s = \text{along-scan}$	
cw2(c,b) where $c(t,x) = 7$ (cloud adjacency property) $b = \text{band (I1, I2, I5)}$ $t = \text{along-track,}$ $s = \text{along-scan}$	This is the cloud-weighting factor, from “cloud_wgts”, which corresponds to the cloud adjacency property and for each imagery band.
cw3(c,b) where $c(t,s) = 5$ (cirrus cloud property) $b = \text{band (I1, I2, I5)}$ $t = \text{along-track,}$ $s = \text{along-scan}$	This is the cloud-weighting factor, from “cloud_wgts”, which corresponds to the cirrus cloud property and for each imagery band.
s0(t,s,c,b) where $t = \text{along-track,}$ $s = \text{along-scan}$ $c = 6$ (shadow detected property) $b = \text{band (I1, I2)}$	This is the cloud-weighting factor, from “cloud_wgts”, which corresponds to the shadow detected property and for each imagery band.

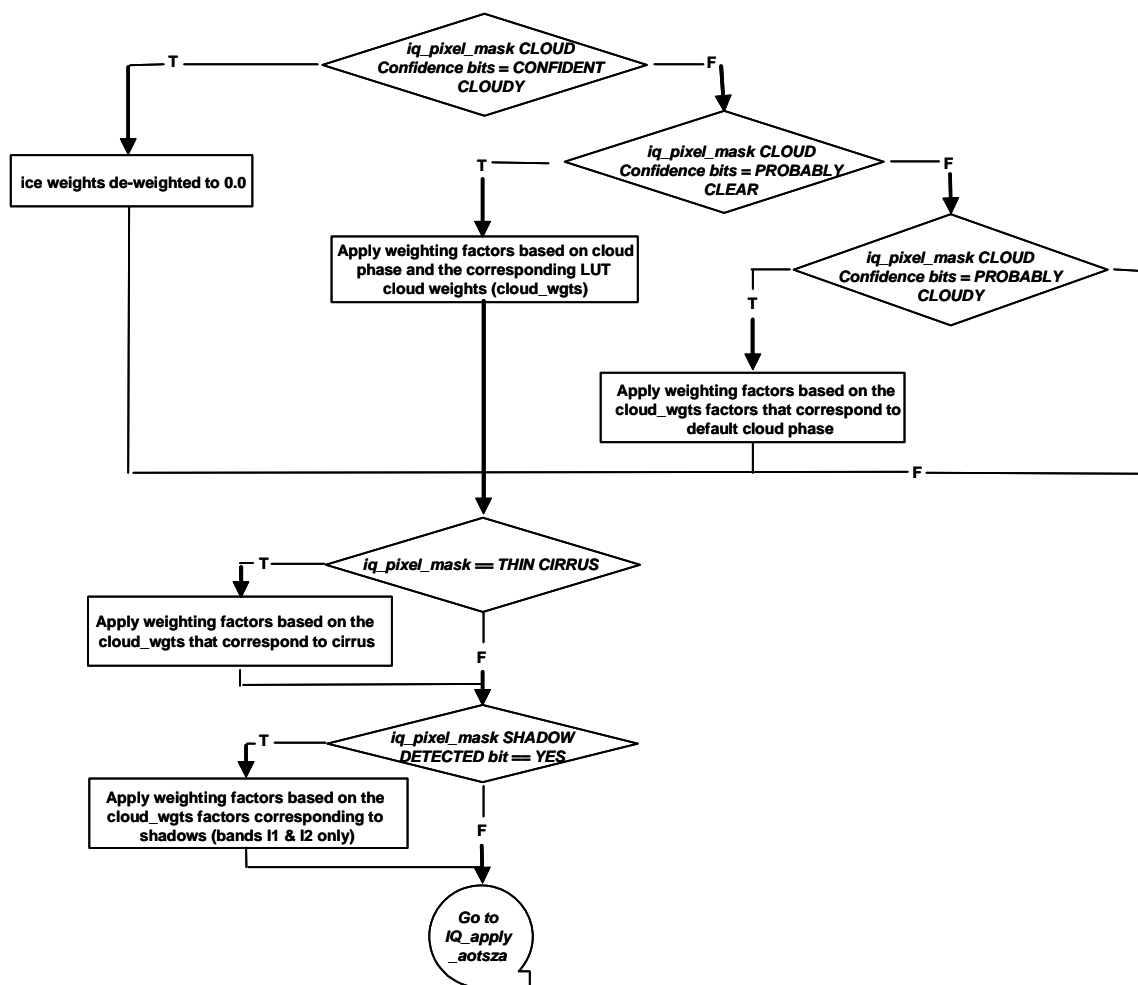


Figure 5. Logic Flow of Using VCM Cloud Properties in applyToWeights

Table 12. Weighting Parameters Computed in applyToWeights for COT

(See Table 5 for a description of “cloudWgts” and “cotThresh”.)

Band Weight Parameter	Definition/Computation
$w_x(c, ct, b)$ where $c(t, s) = 1-4$ (cloud phase) ct = cloud optical thickness b = band (I1, I2, I5) t = along-track, s = along-scan	$w_x = [\cot_thresh(c, 1, b) - \cot(t, s)] / [\cot_thresh(c, 1, b) - \cot_thresh(c, 2, b)]$ where “cot_thresh” are the cloud optical thickness weighting factors extracted from the Ice Quality LUT.
$s0(t, s, c, b)$ where t = along-track, s = along-scan $c = 6$ (shadow detected property) b = band (I1, I2)	This is the cloud-weighting factor, from “cloud_wgts”, which corresponds to the shadow detected property and for each imagery band.

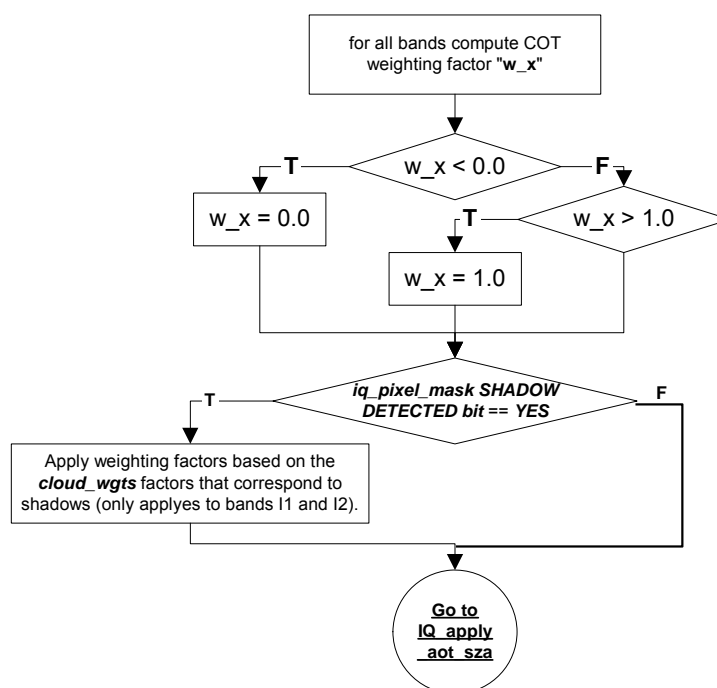


Figure 6. Logic Flow of Using COT Data in applyToWeights

2.1.2.8 applyAotSunZen (ProEdrViirsIceQual.cpp)

After applying weights due to cloud effects, this function computes weighting factors by interpolating solar zenith angle intersections for each reflectance band, given the AOT for a pixel. If any AOT (input from the AOT IP) is outside the boundaries of the AOT values defined in “aotBin”, the band weight is set to 0.0. This also occurs if the input LUT parameter of “aotBin” is out of order. See Table 5 for Ice Quality LUT description. After determining that the current AOT value for the pixel falls within the boundaries of “aotBin”, the code must determine which intermediate values of “aotBin” the AOT falls between. The two “aotBin” values serve as interpolation points. The following equation interpolates the solar zenith angles for each band reflectance band, given the AOT for the current pixel:

$$\theta_k(t, s, b) = \theta'_{i,k}(b) \left(\frac{\tau'_{i+1} - \tau(t, s)}{\tau'_{i+1} - \tau'_i} \right) + \theta'_{i+1,k}(b) \left(\frac{\tau(t, s) - \tau'_i}{\tau'_{i+1} - \tau'_i} \right),$$

where the $\theta'_{i,k}$, τ , and τ'_i represent the solar zenith angle values from the LUT parameter “qAotSunZen”, AOT of the pixel, and the AOT intermediate boundary values (aotBin) respectively. The index “i” represents the column index in “aotBin” and “row” index in “qAotSunZen” as described in Table 1; $i = 1 \dots 4$. The index “k” corresponds to the “quality” of the interpolation, which refers to the “column” index in “qAotSunZen”; $k = 1$ (Green/Yellow quality) or 2 (Yellow/Red quality). The index “b” refers to the band bin index $b=1$ (VIIRS I1 band) or 2 (VIIRS I2 band). The weighting factor depends on the difference between θ_2 and θ_1 (where the indices correspond to k). Figure 7 gives the logic flow of this function; see Table 13 for weighting calculation. As with the COT IP, the AOT IP values at each moderate resolution pixel must be replicated over the four imagery pixels.

Table 13. Weighting Parameter Computation in applyAotSunZen

Band Weight Parameter	Definition/Computation
$a_sza(\tau, \theta_{t,s}, b)$ where $\tau(t,s)$ = Aerosol Optical Thickness b = band (I1, I2), no I5 since only reflective bands are necessary $\theta_{t,s}$ = Solar Zenith Angle of the pixel t = along-track, s = along-scan	Weighting factor due to AOT where, $a_sza = \frac{\theta_2 - \theta_{t,s}}{\theta_2 - \theta_1},$ $a_sza = 0$, if $\theta_{t,s} \geq \theta_2$

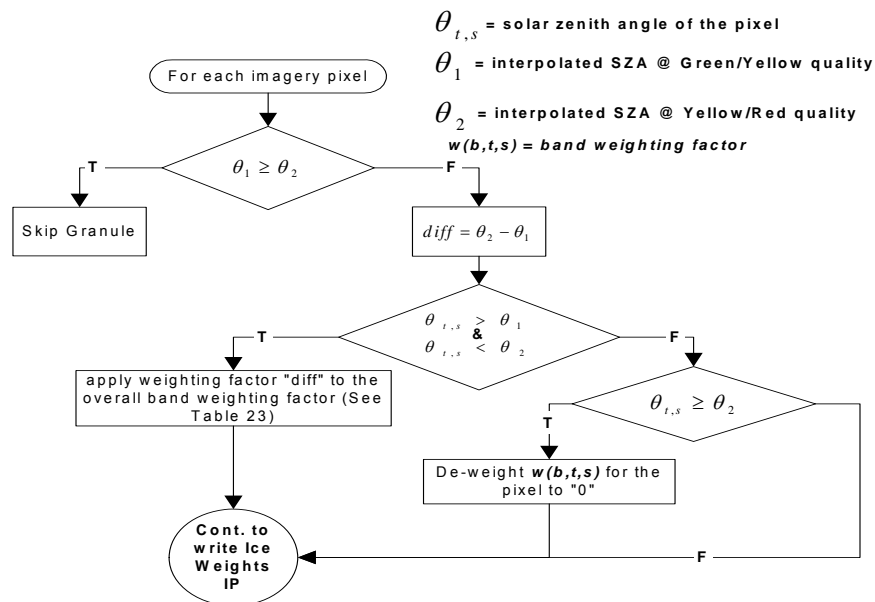


Figure 7. Logic Flow of applyAotSunZen

2.1.2.9 Current Issues

Currently there are a few issues in the ice quality module to enumerate:

1. The code does not implement a sun glint test. The status of whether to implement the sun glint test is determined during chain testing. IDPS has left the sun glint test commented out, until further notice by NGST.

2.1.3 Graceful Degradation

2.1.3.1 Graceful Degradation Inputs

There are two cases where input graceful degradation is indicated in the Sea Ice Quality EDR.

1. The primary input denoted in the algorithm configuration guide cannot be successfully retrieved but alternate input can be retrieved.
2. Graceful degradation is indicated if an input retrieved for the algorithm has its N_Graceful_Degradation metadata field set to YES (propagation).

Table 14 details the instances of this case for SIQ. Note that the shaded cells indicate that the graceful degradation was done upstream at product production.

Table 14. SIQ Graceful Degradation

Input Data Description	Satellite	Baseline Data Source	Primary Backup Data Source	Secondary Backup Data Source	Tertiary Backup Data Source	Graceful Degradation Done Upstream
Aerosol Optical Thickness	NPP,PM1, TR1	VIIRS_GD_15.4.1 VIIRS AOT IP	VIIRS_GD_25.4.1 NAAPS	VIIRS_GD_15.4.1 Climatology	N/A	Yes, backup only.

2.1.3.2 Graceful Degradation Processing

None.

2.1.3.3 Graceful Degradation Outputs

None.

2.1.4 Exception Handling

When processing a Night scene, the AOT cannot be applied to the I1 and I2 weights. The Ice Weights for I1 and I2 are set to 0.0.

If an error occurs applying the AOT to the I1 and I2 weights, the Ice Weights for I1 and I2 are set to 0.0.

If the geolocation data is invalid, the Ice Quality Flags is set to RED for I1, I2, and I5. The Ice Weights are set to 0.0 for I1, I2, and I5.

2.1.5 Data Quality Monitoring

The only data quality monitoring is by way of the Ice Quality IP quality flags listed in Table 8.

2.1.6 Computational Precision Requirements

Single precision 32-bit floating point computations are required for the Ice Quality IP.

2.1.7 Algorithm Support Considerations

Table 15 contains the tunable algorithm parameters that may need adjustment throughout the NPP and NPOESS program.

Table 15. List of Tunable Algorithm Parameters

Input	Data Type/Size	Description/Source	Units/Valid Range
bandWgts	float*32 x IQ_N_BANDS	Initial Ice Quality Band Weights	Unitless/ bandWgts ≥ 0.0 bandWgts = [0.3, 0.6, 0.1]
cloudWgts	float*32 x IQ_N_CLD_TYPES x IQ_N_BANDS	Cloud weights corresponding to the three imagery bands (I1, I2, I5) and the seven cloud properties - four phases = Default (1), Water (2), Ice (3), Mixed (4), and 3 types = cirrus (5), shadow (6), adjacency (7); the parenthetical values correspond to the rows of the matrix shown in the "Units/Range" cell, the column represent the bands I1, I2, and I5	Unitless/ cloudWgts ≥ 0.0 cloudWgts = (I1) (I2) (I5) [0.5, 0.5, 0.5; (1) 0.5, 0.5, 0.5; (2) 0.5, 0.5, 0.5; (3) 0.5, 0.5, 0.5; (4) 0.6, 0.6, 0.6; (5) 0.3, 0.3, 1.0; (6) 0.8, 0.8, 0.8] (7)
cotThresh	float*32 x 4 x IQ_N_THRESH x IQ_N_BANDS	Cloud Optical Thickness Thresholds used when <i>cotThresh</i> == 1 (used COT to determine cloud confidence in the Ice Quality Flags IP output. The "4" in the "Data Type/Size" cell corresponds to the four phases (Default(1), Water(2), Ice(3), Mixed(4)). "IQ_N_THRESH" corresponds to the rows of each set of matrices which are a function of cloud phase; the "(1)'s" represent the YELLOW/RED cot thresholds and the "(2)'s" represent the GREEN/YELLOW COT thresholds.	Unitless/ cotThresh ≥ 0.0 cotThresh = (Default, Water, Ice, Mixed) (I1) (I2) (I5) [0.5, 0.5, 0.5; (1) 0.2, 0.2, 0.2] (2) (Phases follow the order shown above)
fwRange	float*32 x IQ_N_FW	Fresh Water Ice Latitude/Longitude Range (Lat/Lon Boundaries)	Not Used
minNLat	float*32	Sea Ice Latitude Range – Minimum Northern Latitude	radians/ $-\pi/2 \leq \text{minNLat} \leq \pi/2$ (Currently set to 0.62831 (36°))
maxSLat	float*32	Sea Ice Latitude Range – Maximum Southern Latitude	radians/ $-\pi/2 \leq \text{maxSLat} \leq \pi/2$ (Currently set to -0.87266 (-50°))
aotBin	float*32 x IQ_N_AOT_BINS	AOT bin boundary values	Unitless/ aotBin ≥ 0.0 aotBin = [0.0, 0.15, 0.5, 1.0]
qAotSunZen	float*32 x IQ_N_BANDS (I1,I2) x IQ_N_AOT_BINS x 2	Solar Zenith Angle values that correspond to the Solar Zenith Angle quality regimes (G/Y = "Green/Yellow", Y/R = "Yellow/Red", this corresponds to the "2" in the "Data Types/Size" column) and to the "aotBin" values ((1) -> 0.0, (2) -> 0.15, (3) -> 0.5, (4) -> 1.0)	Radians/ $-\pi/2 \leq \text{qAotSunZen} \leq \pi$ (I1, I2) (G/Y) (Y/R) [1.308997(75°), 1.48353(85°) (1) 1.22173(70°), 1.48353(85°) (2) 1.13446(65°), 1.39626(80°); (3) 1.04719(60°), 1.308997(75°)] (4) (Bands follow the order shown above)

Input	Data Type/Size	Description/Source	Units/Valid Range
qualWgts	float*32 x IQ_N_WGTS x IQ_N_BANDS	Overall Ice Quality Band Weights for each band (I1, I2, I5) and for each set of weights for each band; the (1)="RED", (2)="YELLOW" and (3)="GREEN" quality regions. Used in IQ_write_ice_mask()	Unitless/ qualWgts ≥ 0.0 qualWgts = (I1) (I2) (I5) [0.060,0.12,0.195;(1) 0.12 ,0.24,0.39 ;(2) 0.02 ,0.04,0.065](3)

The tunable parameters described and defined in table 15 consist of two categories: 1) relative empirical and 2) physical. Empirical parameters define the relative quality weights where 0 is bad quality and 1 represents good quality. The recommended values listed in table 16 are empirically based on testing with MODIS proxy scenes. Physical parameters are those such as angle and latitude, longitude thresholds and AOT quality weight bin values. These thresholds are determined based on inspection of the MODIS proxy scenes tested. Optimal values are to be determined during calibration/validation.

2.1.8 Assumptions and Limitations

2.1.8.1 Assumptions

None identified.

2.1.8.2 Limitations

None identified.

2.2 Surface Temperature Description

The ST IP retrieval algorithm and its theoretical basis are described in detail within the VIIRS IST Algorithm Theoretical Basis Document, D43761. The operational implementation of the IST EDR algorithm is described in the Operational Algorithm Description Document for the VIIRS Ice Surface Temperature (IST) EDR, D39141.

The purpose of the ST IP module is to retrieve the Surface Temperature for each cloud-free ocean pixel at VIIRS imagery-resolution. Brightness Temperature data from the VIIRS SDR, VIIRS Aerosol Optical Thickness (AOT) IP, VIIRS Ice Quality Flags IP and Ice Weight IP are used to decide whether the pixel is processed and, whether to use a 2-band split window baseline algorithm or a single-band split window fallback algorithm. The ST IP is retrieved using a regression equation with separate coefficients for day and for night retrievals. ST and ST quality information are written to the VIIRS ST IP. Figure 8 below shows the ST IP Processing Chain.

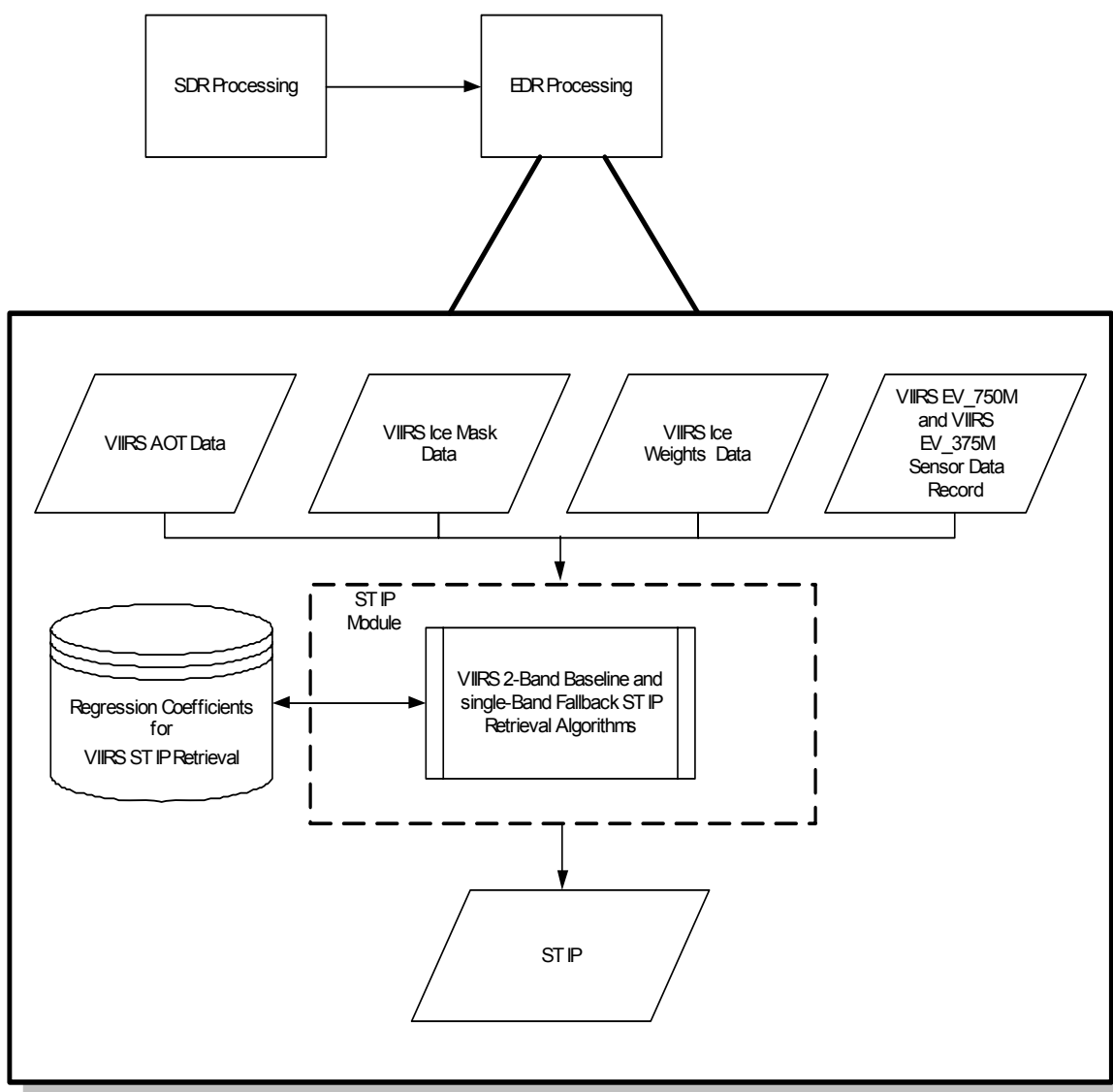


Figure 8. Surface Temperature IP Processing Chain

2.2.1 Interfaces

Table 16 shows the global constant attributes of the Surface Temperature IP unit.

Table 16. Surface Temperature Global Attributes

Input	Type	Description/Source	Units/Valid Range
VIIRS_RDR_SCANS	Int32	Number of RDR scans	Unitless/ VIIRS_RDR_SCANS > 0 (Currently set to 48)
M_DETECTORS	Int32	Number of Moderate detectors	Unitless/ M_DETECTORS > 0 (Currently set to 16)
I_DETECTORS	Int32	Number of Image detectors	Unitless/ I_DETECTORS > 0 (Currently set to 32)
M_VIIRS_SDR_ROWS	Int32	Number of moderate VIIRS rows	Unitless/ VIIRS_RDR_SCANS * M_DETECTORS
M_VIIRS_SDR_COLS	Int32	Number of moderate VIIRS columns	Unitless/ M_VIIRS_SDR_COLS > 0 (Currently set to 3200)
I_VIIRS_SDR_ROWS	Int32	Number of image VIIRS rows	Unitless/ VIIRS_RDR_SCANS * I_DETECTORS
I_VIIRS_SDR_COLS	Int32	Number of image VIIRS columns	Unitless/ I_VIIRS_SDR_COLS > 0 (Currently set to 6400)
VIIRS_MODERATE_PIXEL_COUNT	Int32	Number of moderate columns X rows	Unitless/ M_VIIRS_SDR_ROWS * M_VIIRS_SDR_COLS
STIP_LUT_MAX_TERMS	Int32	Max dimension of the term field used in ST IP LUT	Unitless / STIP_LUT_MAX_TERMS > 0 (Currently set to 4)
STIP_LUT_MAX_DN	Int32	Max dimension of LUT day/night dimension	Unitless / STIP_LUT_MAX_DN > 0 (Currently set to 2)
STIP_LUT_MAX_ALG	Int32	Max dimension of LUT algorithm field, refers to ST IP algorithm approaches	Unitless / STIP_LUT_MAX_ALG > 0 (Currently set to 2)
STIP_LUT_2BAND_COEFFS	Int32	Indices representing coefficients $a_0 \dots a_3$	Unitless / STIP_LUT_2BAND_COEFFS > 0 (Currently set to 4)
STIP_LUT_1BAND_COEFFS	Int32	Indices representing coefficients a_0, a_1	Unitless / STIP_LUT_1BAND_COEFFS > 0 (Currently set to 2)
STIP_LUT_DAY_INDEX	Int32	Key to LUT day dimension	Unitless / STIP_LUT_DAY_INDEX => 0 (Currently set to 1)
STIP_LUT_NIGHT_INDEX	Int32	Key to LUT night dimension	Unitless / STIP_LUT_NIGHT_INDEX => 0 (Currently set to 0)

2.2.1.1 Inputs

Table 17 lists the inputs to the Surface Temperature algorithm.

Table 17. Surface Temperature Inputs

Input	Data Type/Size	Description/Source	Units/Valid Range
Latitude	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Latitude @ Imagery Resolution, from IMG Geolocation SDR	Radians/ $-PI/2 \leq \text{Latitude} \leq PI/2$ FILL_VALUE = -999.9

Input	Data Type/Size	Description/Source	Units/Valid Range
Longitude	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Longitude @ Imagery Resolution, from IMG Geolocation SDR	Radians/ -PI ≤ Longitude ≤ PI FILL_VALUE = -999.9
satzen	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Sensor Zenith Angle @ Imagery Resolution, from IMG Geolocation SDR	Radians/ -PI/2 ≤ satzen ≤ PI/2 FILL_VALUE = -999.9
satazi	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Sensor Azimuth Angle @ Imagery Resolution, from IMG Geolocation SDR	Radians/ -PI ≤ satazi ≤ PI FILL_VALUE = -999.9
BT_M15	float*32 x M_VIIRS_SDR_ROWS X M_VIIRS_SDR_COLS	Brightness Temperature of Band M15 / VIIRS 750 m resolution SDR	Kelvin / 190 K < BT _{M15} < 343 K Fill_VALUE= 65535 (Integer Scaled)
BT_M16	float*32 x M_VIIRS_SDR_ROWS X M_VIIRS_SDR_COLS	Brightness Temperature of Band M16 / VIIRS 750 m resolution SDR	Kelvin / 190 K < BT _{M16} < 340 K Fill_VALUE= 65535 (Integer Scaled)
BT_I5	float*32 x I_VIIRS_SDR_ROWS X I_VIIRS_SDR_COLS	Brightness Temperature of Band I5 / VIIRS 375 m resolution SDR	Kelvin / 190 K < BT _{I5} < 340 K Fill_VALUE= 65535 (Integer Scaled)
AOT	float*32 x M_VIIRS_SDR_ROWS x M_VIIRS_SDR_COLS	Aerosol Optical Thickness (550nm) @ 750m	Unitless/ AOT ≥ 0.0 FILL_VALUE = -999.9
VCM	VIIRS_MODERATE_PIXEL_COUNT	Cloud Mask Flags	VCMLANDWATER, VCMQUAL, VCMCONF, VCMADJCONF, VCMCIRSOL, VCMCIRIR, VCMTHINCIR, VCMshadow, VCMOBSTRUCT, VCMPHASE, VCMFIRE, VCMGLINT
STIP LUT	See Table 18	See Table 18	See Table 18

Table 18 contains the Surface Temperature algorithm LUT description.

Table 18. Surface Temperature LUT Description

Input	Type	Description/Source	Units/Valid Range
StipCoeffs[term] [day/night] [algorithm][regime]]	float*32 x nterms x ndaynight x nalgorithms x nregimes	LUT Coefficients / ST IP LUT data file	See Algorithm section below

2.2.1.2 Outputs

2.2.1.2.1 Surface Temperature (ST) IP Unit

The ST IP contains two output fields (see Table 19): an ST value in Kelvin and ST IP Quality Flag (QF) for each moderate resolution pixel. Refer to the CDFCB-X, D34862, for a detailed description of the outputs. One input (VIIRS Surface Temperature IP) is described in the IDFCB, D36953, Vol. III, Note that ST IP is a required input for the VIIRS Ice Concentration algorithm.

Table 19. Surface Temperature IP Outputs

Output	Data Type/Size	Description	Units/Range
Surface Temperature	float*32 x I_VIIRS_SDR_ROWS x I_VIIRS_SDR_COLS	Surface temperature	Units: degrees Kelvin Range: T > 0 Fill: -999.9
STIP Quality Flags	Int*8 x I_VIIRS_SDR_ROWS x I_VIIRS_SDR_COLS	ST IP Quality Flags	Units: none See Table 20

Table 20. Surface Temperature IP QF Output Bits and Descriptions

Byte	Bit	Flag Description Key	Result
0	0-1	ST IP Quality	<div> <div>Bit 1</div> <div>Bit 0</div> <div>0 0 = High</div> <div>0 1 = Medium</div> <div>1 0 = Low</div> <div>1 1 = No Retrieval</div> </div>
	2	AOT Condition (VIIRS 550 nm band)	<div>0 = Within range, (0 <= AOT <= 1.0)</div> <div>1 = Outside range</div>
	3	Day/Night	<div>0 = Night, (85° < Solar Zenith Angle)</div> <div>1 = Day, (0° <= Solar Zenith Angle <= 85°)</div>
	4	Fire Detection	<div>0 = No</div> <div>1 = Yes</div>
	5	Shadow Detected	<div>0 = No</div> <div>1 = Yes</div>
	6	Cloud Indicator	<div>0 = Not Confidently Cloudy</div> <div>1 = Confidently Cloudy</div>
	7	Cirrus Indicator	<div>0 = Not Cloudy</div> <div>1 = Cloudy (Solar & IR)</div>

2.2.2 Algorithm Processing

2.2.2.1 Main Module - calcSurfTemp() (ST IP)

The objective of the ST IP algorithm is to calculate the ocean and sea-ice surface temperature at each pixel indicated by the VIIRS Ice Quality Flags IP at VIIRS imagery resolution (375 m) when all the necessary inputs are available. Two similar regression algorithms are used to perform this retrieval:

- 1) A baseline 2-band split window algorithm which uses the brightness temperature from a pair of VIIRS wavebands in the Long-Wavelength Infrared (LWIR) atmospheric window (Bands M15 and M16) at moderate resolution and Band I5 at imagery resolution, and
- 2) A fallback single-band algorithm where only the LWIR band I5 at imagery resolution is used.

Quality assessment flags for each pixel are stored in the ST IP Flag output.

This module is called by calcIqStip (see Section 2.1.2.5). Sections 2.2.2.2 through 2.2.2.4 provide a detailed description of this module.

2.2.2.2 ST IP Logic

The ST IP's retrieval logic flow diagram is provided in Figure 9. The core logic occurs in two functions, `setStipQualFlags()` and `calculateSt()`. In the current implementation, ST IP QFs additionally serve as decision flags. Their values are used in the decision of whether ST IP can be retrieved and, if so, which algorithm to use.

ST IP is not retrieved if any of the following conditions occur:

- The pixel is confidently cloudy, or
- Band I5 Brightness Temperature is outside the SDR defined range, or
- The pixel is "Not to process".

These pixels are filled (-999.9) and marked with an ST IP quality flag of "No Retrieval".

For the pixels that are processed, ST IP is retrieved by either the baseline 2-band split-window algorithm or the single-band fallback algorithm. In general, the 2-band split-window algorithm is used under optimal conditions: "to process" pixel indicated by the Sea Ice Range flag, no fire, and "in-range" brightness temperatures for the M15 and M16 and I5 bands. Otherwise, the single-band fallback algorithm is used. The logic to determine which algorithm is used is provided in the ST IP QF Logic table, Table 21.

The equations for the 2-band split-window and single-band algorithms are specified in Table 22. The implementation is presented in `calculateSt()`. Daytime and nighttime retrievals are identical except with different sets of regression coefficients in the LUT.

For an off-nominal condition where a negative ST IP is retrieved, the ST IP field is filled with -999.9 and the ST IP quality bit field is set to "No Retrieval".

The AOT values from the VIIRS band 550 nm are used. Final data type of AOT and its availability are subject to change and should be verified once becoming available.

2.2.2.3 ST IP QF Logic

ST IP Flags consist of one 8-bit word shown in Table 20. The logic to set these quality flags is performed in function `setStipQualFlags()` and provided in Table 21. The first byte of the ST IP quality flags is written as output for use by the Sea Ice Concentration algorithm.

Overall quality of the ST IP pixel is represented by the quality bit field. Pixel quality is flagged as "No Retrieval" when the following applies:

- (BT_I5 is outside range) or (Pixel is "not to process" indicated by the VIIRS Ice Quality Flags IP) or (Cloud Confidence is "Confidently Cloudy")
- $ST \leq 0$ (Determined after an attempt is made to retrieve ST IP).

2.2.2.4 ST IP LUT Coefficient Selection

A unique set of regression coefficients is derived offline for ST IP. Each ST IP equation (Table 22) uses a different set of coefficients for a given day/night condition. Access to the coefficients is achieved by setting index values based on the given pixel viewing conditions and indicating which algorithm approach to use. Once these indices are specified, coefficients are retrieved for the desired ST IP algorithm by selecting a specific "term" index. For example, currently the "regime" index should be set to "0" and has only one value. It is a placeholder for possible future improvement by further stratification of atmospheric conditions. For the 2-band split window algorithm, there are four coefficients. For the fallback single-band algorithm, there are

two coefficients. For the latter, an additional zero-valued coefficient is present as “filler” in the LUT file.

Example:

LUTCoeffs[n][1][0], where n is indexed from 0 to 3, corresponds to the coefficients a_0 to a_3 of the 2-band split window algorithm under daytime viewing conditions.

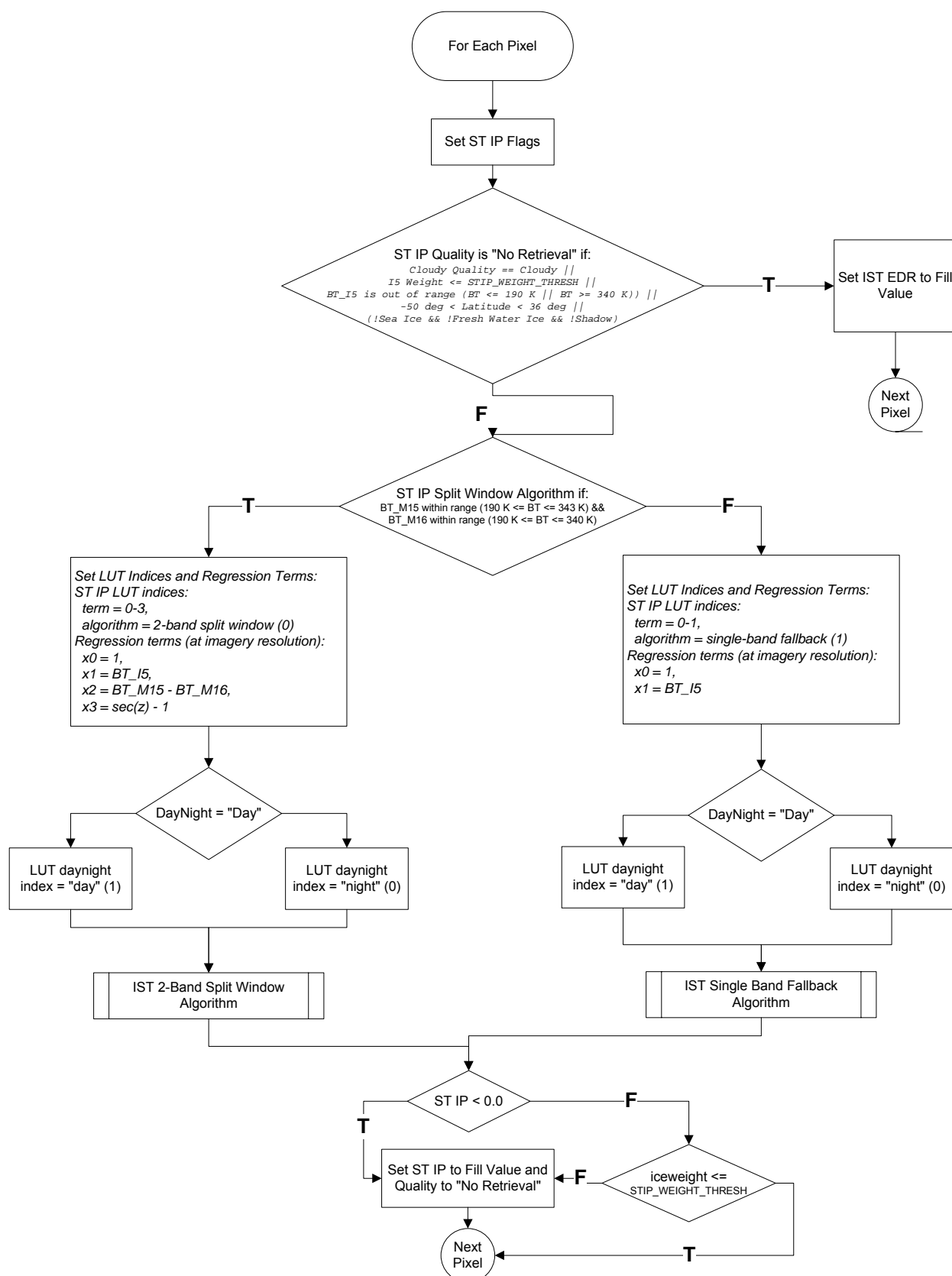


Figure 9. Surface Temperature IP Retrieval Logic Flow

Table 21. Surface Temperature IP QF Logic

ST IP Flag	Input Source	Flag Setting
Sea Ice Flag	VIIRS Ice Quality Flags IP/Sea Ice Range Flag	ST IP Sea Ice Flag = VIIRS Ice Quality Flags IP Sea Ice Range Flag
Fresh Water Ice Flag	VIIRS Ice Quality Flags IP/Fresh Water Ice Range Flag	ST IP Fresh Water Ice Flag = VIIRS Ice Quality Flags IP Fresh Water Ice Range Flag
Band M15 Brightness Temperature Range	VIIRS Earth View 750-meter SDR	if ($190\text{ K} < BT_{M15} < 343\text{ K}$) set to "within range" otherwise set to "out of range" end if
Band M16 Brightness Temperature Range	VIIRS Earth View 750-meter SDR	if ($190\text{ K} < BT_{M16} < 340\text{ K}$) set to "within range" otherwise set to "out of range" end if
Band I5 Brightness Temperature Range	VIIRS Earth View 375-meter SDR	if ($190\text{ K} < BT_{I5} < 340\text{ K}$) set to "within range" otherwise set to "out of range" end if
Band I5 Quality	VIIRS Ice Quality Flags IP/I5 Quality Flag	I5 Quality Flag = VIIRS Ice Quality Flags IP I5 Quality
Band I5 Overall Quality	VIIRS Ice Quality Flags IP/I5 Overall QF	I5 Overall Quality Flag = VIIRS Ice Quality Flags IP I5 Overall Quality Flag
AOT Condition	VIIRS AOT IP	if ($0 \leq AOT \leq 1.0$) set to "within range" otherwise set to "out of range" end if
Day/Night	VIIRS Earth View 375-meter SDR	if ($0^\circ \leq \text{Solar Zenith Angle} \leq 85^\circ$) set to "Day" otherwise set to "Night" end if
Cloud Shadow	VIIRS Ice Quality Flags IP/Cloud Shadow Flag	ST IP Cloud Shadow Flag = VIIRS Ice Quality Flags IP Cloud Shadow Flag
Fire Detection	VIIRS Ice Quality Flags IP/Fire Detection Flag	ST IP Fire Flag = VIIRS Ice Quality Flags IP Fire Flag
Cloud Confidence Indicator	VIIRS Ice Quality Flags IP/Cloud Confidence Indicator	ST IP Cloud Confidence Flag = VIIRS Ice Quality Flags IP Cloud Confidence Flag
Cirrus Confidence Indicator	VIIRS Ice Quality Flags IP/Cirrus Confidence Indicator	ST IP Cirrus Quality Flag = VIIRS Ice Quality Flags IP Cirrus Confidence Flag
Algorithm	Logical combination of ST IP Flags	if (BT_{M15} is "within range") and (BT_{M16} is "within range") and (BT_{I5} is "within range") set to "2-Band" otherwise set to "1-Band" end if
ST IP Retrieval Quality	Logical combination of ST IP Flags	See Logic Table

Table 22. Surface Temperature IP Core Equations

VIIRS ST IP Baseline Split Window Algorithm

Daytime: $ST = a_0 + a_1 t_{I5} + a_2 (T_{M15} - T_{M16}) + a_3 (\sec \theta - 1)$ Nighttime: $ST = b_0 + b_1 t_{I5} + b_2 (T_{M15} - T_{M16}) + b_3 (\sec \theta - 1)$
VIIRS ST IP fallback single-band algorithm
Daytime: $ST = a_0 + a_1 t_{I5}$ Nighttime: $ST = b_0 + b_1 t_{I5}$
<p>where</p> <ul style="list-style-type: none"> • ST is the retrieved ice surface temperature at imagery resolution, • a_n and b_n are coefficients in the ST IP LUT and are dependent on day/night conditions, • θ is the sensor zenith angle, • T_λ is the brightness temperature at λ = VIIRS Bands M15, M16, • t_{I5} is the brightness temperature at 11.5 μm = VIIRS Band I5. <p>The equations above correspond to the IST ATBD (D43761) Appendix A, Section A.3.3.2 and A.3.3.3, Equations (A-13) and (A-14) with minor modifications.</p>

2.2.3 Graceful Degradation

2.2.3.1 Graceful Degradation Inputs

See Section 2.1.3.1.

2.2.3.2 Graceful Degradation Processing

None.

2.2.3.3 Graceful Degradation Outputs

None.

2.2.4 Exception Handling

When ST IP cannot be retrieved due to conditions such as invalid SDR data, cloudy pixel, non-processing pixel, ST IP pixel values are set to a FILL_VALUE of -999.9. The ST IP QFs are unaffected by this condition and should still be set as they provide information on why the ST IP was not retrieved successfully.

2.2.5 Data Quality Monitoring

The only data quality monitoring is by way of the Surface Temperature IP quality flags listed in Table 20.

2.2.6 Computational Precision Requirements

Single precision 32-bit floating point computations are required for the Surface Temperature IP.

2.2.7 Algorithm Support Considerations

Table 23 contains the algorithm parameter coefficients that may need updating throughout the NPP and NPOESS program. Table 23 contains the Surface Temperature algorithm LUT description.

Table 23. Surface Temperature LUT Description

Input	Type	Description/Source	Units/Valid Range
StipCoeffs[term] [day/night] [algorithm][regime]	float*32 x nterms x ndaynight x nalgorithms x nregimes	LUT Coefficients / ST IP LUT data file	See Algorithm section above

2.2.8 Assumptions and Limitations

2.2.8.1 Assumptions

The ST IP retrieval algorithm assumes VIIRS 375 M SDR, VIIRS 750 M SDR, VIIRS Ice Quality Flags IP, VIIRS Ice Weights IP, and VIIRS AOT IP are available before processing.

2.2.8.2 Limitations

The ST IP is retrieved under clear conditions with known ice type classification and valid brightness temperature from at least the VIIRS I5 band, and with $0.0 \leq \text{AOT} < 1$.

3.0 GLOSSARY/ACRONYM LIST

3.1 Glossary

The current glossary for the NPOESS program, D35836_G_NPOESS_Glossary, can be found on eRooms. Table 24 contains those terms most applicable for this OAD.

Table 24. Glossary

Term	Description
Algorithm	A formula or set of steps for solving a particular problem. Algorithms can be expressed in any language, from natural languages like English to mathematical expressions to programming languages like FORTRAN. On NPOESS, an algorithm consists of: <ol style="list-style-type: none"> 1. A theoretical description (i.e., science/mathematical basis) 2. A computer implementation description (i.e., method of solution) 3. A computer implementation (i.e., code)
Algorithm Configuration Control Board (ACCB)	Interdisciplinary team of scientific and engineering personnel responsible for the approval and disposition of algorithm acceptance, verification, development and testing transitions. Chaired by the Algorithm Implementation Process Lead, members include representatives from IWPTB, Systems Engineering & Integration IPT, System Test IPT, and IDPS IPT.
Algorithm Verification	Science-grade software delivered by an algorithm provider is verified for compliance with data quality and timeliness requirements by Algorithm Team science personnel. This activity is nominally performed at the IWPTB facility. Delivered code is executed on compatible IWPTB computing platforms. Minor hosting modifications may be made to allow code execution. Optionally, verification may be performed at the Algorithm Provider's facility if warranted due to technical, schedule or cost considerations.
Ancillary Data	Any data which is not produced by the NPOESS System, but which is acquired from external providers and used by the NPOESS system in the production of NPOESS data products.
Auxiliary Data	Auxiliary Data is defined as data, other than data included in the sensor application packets, which is produced internally by the NPOESS system, and used to produce the NPOESS deliverable data products.
EDR Algorithm	Scientific description and corresponding software and test data necessary to produce one or more environmental data records. The scientific computational basis for the production of each data record is described in an ATBD. At a minimum, implemented software is science-grade and includes test data demonstrating data quality compliance.
Environmental Data Record (EDR)	<p><i>[IORD Definition]</i></p> <p>Data record produced when an algorithm is used to convert Raw Data Records (RDRs) to geophysical parameters (including ancillary parameters, e.g., cloud clear radiation, etc.).</p> <p><i>[Supplementary Definition]</i></p> <p>An Environmental Data Record (EDR) represents the state of the environment, and the related information needed to access and understand the record. Specifically, it is a set of related data items that describe one or more related estimated environmental parameters over a limited time-space range. The parameters are located by time and Earth coordinates. EDRs may have been resampled if they are created from multiple data sources with different sampling patterns. An EDR is created from one or more NPOESS SDRs or EDRs, plus ancillary environmental data provided by others. EDR metadata contains references to its processing history, spatial and temporal coverage, and quality.</p>
Model Validation	The process of determining the degree to which a model is an accurate representation of the real-world from the perspective of the intended uses of the model. [Ref.: DoDD 5000.59-DoD Modeling and Simulation Management]
Model Verification	The process of determining that a model implementation accurately represents the developer's conceptual description and specifications. [Ref.: DoDD 5000.59-DoD Modeling and Simulation Management]
Operational Code	Verified science-grade software, delivered by an algorithm provider and verified by IWPTB, is developed into operational-grade code by the IDPS IPT.

Term	Description
Operational-Grade Software	Code that produces data records compliant with the System Specification requirements for data quality and IDPS timeliness and operational infrastructure. The software is modular relative to the IDPS infrastructure and compliant with IDPS application programming interfaces (APIs) as specified for TDR/SDR or EDR code.
Raw Data Record (RDR)	<p><i>[IORD Definition]</i></p> <p>Full resolution digital sensor data, time referenced and earth located, with absolute radiometric and geometric calibration coefficients appended, but not applied, to the data. Aggregates (sums or weighted averages) of detector samples are considered to be full resolution data if the aggregation is normally performed to meet resolution and other requirements. Sensor data shall be unprocessed with the following exceptions: time delay and integration (TDI), detector array non-uniformity correction (i.e., offset and responsivity equalization), and data compression are allowed. Lossy data compression is allowed only if the total measurement error is dominated by error sources other than the data compression algorithm. All calibration data will be retained and communicated to the ground without lossy compression.</p> <p><i>[Supplementary Definition]</i></p> <p>A Raw Data Record (RDR) is a logical grouping of raw data output by a sensor, and related information needed to process the record into an SDR or TDR. Specifically, it is a set of unmodified raw data (mission and housekeeping) produced by a sensor suite, one sensor, or a reasonable subset of a sensor (e.g., channel or channel group), over a specified, limited time range. Along with the sensor data, the RDR includes auxiliary data from other portions of NPOESS (space or ground) needed to recreate the sensor measurement, to correct the measurement for known distortions, and to locate the measurement in time and space, through subsequent processing. Metadata is associated with the sensor and auxiliary data to permit its effective use.</p>
Retrieval Algorithm	A science-based algorithm used to 'retrieve' a set of environmental/geophysical parameters (EDR) from calibrated and geolocated sensor data (SDR). Synonym for EDR processing.
Science Algorithm	The theoretical description and a corresponding software implementation needed to produce an NPP/NPOESS data product (TDR, SDR or EDR). The former is described in an ATBD. The latter is typically developed for a research setting and characterized as "science-grade".
Science Algorithm Provider	Organization responsible for development and/or delivery of TDR/SDR or EDR algorithms associated with a given sensor.
Science-Grade Software	Code that produces data records in accordance with the science algorithm data quality requirements. This code, typically, has no software requirements for implementation language, targeted operating system, modularity, input and output data format or any other design discipline or assumed infrastructure.
SDR/TDR Algorithm	Scientific description and corresponding software and test data necessary to produce a Temperature Data Record and/or Sensor Data Record given a sensor's Raw Data Record. The scientific computational basis for the production of each data record is described in an Algorithm Theoretical Basis Document (ATBD). At a minimum, implemented software is science-grade and includes test data demonstrating data quality compliance.
Sensor Data Record (SDR)	<p><i>[IORD Definition]</i></p> <p>Data record produced when an algorithm is used to convert Raw Data Records (RDRs) to calibrated brightness temperatures with associated ephemeris data. The existence of the SDRs provides reversible data tracking back from the EDRs to the Raw data.</p> <p><i>[Supplementary Definition]</i></p> <p>A Sensor Data Record (SDR) is the recreated input to a sensor, and the related information needed to access and understand the record. Specifically, it is a set of incident flux estimates made by a sensor, over a limited time interval, with annotations that permit its effective use. The environmental flux estimates at the sensor aperture are corrected for sensor effects. The estimates are reported in physically meaningful units, usually in terms of an angular or spatial and temporal distribution at the sensor location, as a function of spectrum, polarization, or delay, and always at full resolution. When meaningful, the flux is also associated with the point on the Earth geoid from which it apparently originated. Also, when meaningful, the sensor flux is converted to an equivalent top-of-atmosphere (TOA) brightness. The associated metadata includes a record of the processing and sources from which the SDR was created, and other information needed to understand the data.</p>

Term	Description
Temperature Data Record (TDR)	<p><i>[IORD Definition]</i></p> <p>Temperature Data Records (TDRs) are geolocated, antenna temperatures with all relevant calibration data counts and ephemeris data to revert from T-sub-a into counts.</p> <p><i>[Supplementary Definition]</i></p> <p>A Temperature Data Record (TDR) is the brightness temperature value measured by a microwave sensor, and the related information needed to access and understand the record. Specifically, it is a set of the corrected radiometric measurements made by an imaging microwave sensor, over a limited time range, with annotation that permits its effective use. A TDR is a partially-processed variant of an SDR. Instead of reporting the estimated microwave flux from a specified direction, it reports the observed antenna brightness temperature in that direction.</p>

3.2 Acronyms

The current acronym list for the NPOESS program, D35838_G_NPOESS_Acronyms, can be found on eRooms. Table 25 contains those terms most applicable for this OAD.

Table 25. Acronyms

Term	Expansion
ACO	Atmospheric Correction over Ocean
AM&S	Algorithms, Models & Simulations
API	Application Programming Interfaces
ARP	Application Related Product
CDFCB-X	Common Data Format Control Book - External
CDR	Climate Data Records
CI	Configured Item
COT	Cloud Optical Thickness
DMS	Data Management Subsystem
DPIS ICD	Data Processor Inter-subsystem Interface Control Document
DQN	Data Quality Notification
IET	IDPS Epoch Time
IIS	Intelligence and Information Systems
INF	Infrastructure
ING	Ingest
IP	Intermediate Product
LUT	Look-Up Table
MDFCB	Mission Data Format Control Book
PRO	Processing
PW	Precipitable Water
QF	Quality Flag
RTM	Radiative Transfer Model
SDR	Sensor Data Records
SI	Software Item or International System of Units
SST	Sea Surface Temperature
ST	Surface Temperature
SWS	Surface Wind Speed
TBD	To Be Determined
TBR	To Be Resolved
TOA	Top of the Atmosphere
VCM	VIIRS Cloud Mask

4.0 OPEN ISSUES

Table 26. TBXs

TBX ID	Title/Description	Resolution date
None		